



PATENT APPLICATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Jose V. Torres

Appln. No.: 10/072,084

#6

Filed: February 8, 2002

For: IMMUNOGENIC FORMULATION AND PROCESS FOR PREPARATION
THEREOF

Attorney Docket No.: 3648.032

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97 and §1.98

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the following documents for the above-identified application, which were cited in the International Search Report. Copies of the documents set forth below and listed on the attached Form PTO-1449, and copies of the search reports are provided herewith.

1. Anderson, David E., et. al; Overcoming Original
(Antigenic) Sin; Clinical Immunology, Vol. 101, No.
2, November, pp. 152-157, 2001.

2. Carlos, Maria P., et. al; Immunogenicity of a Vaccine Preparation Representing the Variable Regions of the HIV Type 1 Envelope Glycoprotein; AIDS Research and Human Retroviruses, Vol. 16, No. 2, 2000, pp. 153-161, Mary Ann Liebert, Inc.
3. World Patent No. WO 94 00151 (Creagen Inc.)
4. Meyer, Debra, et. al; Induction of Cytotoxic and Helper T. Cell Responses by Modified Simian Immunodeficiency Virus Hypervariable Epitope Constructs; Viral Immunology, Vol. 12, No. 2, 1999, pp. 117-129, Mary Ann Liebert, Inc.
5. Meyer, Debra, et. al; Hypervariable Epitope Constructs Representing Variability in Envelope Glycoprotein of SIV Induce a Broad Humoral Immune Response in Rabbits and Rhesus Macaques; AIDS Research and Human Retroviruses, Vol. 14, No. 9, 1998, pp. 751-760, Mary Ann Liebert, Inc.

Documents 3-5 were cited as obvious "Y" type references.

Documents 1-2 were cited as relevant "X" type reference and as obvious "Y" type references.

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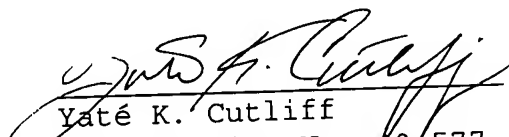
ATTY. DOCKET: 3648.032

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date, or (2) before the mailing date of the first Office Action on the merits and, therefore, no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,


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Date: April 1, 2003

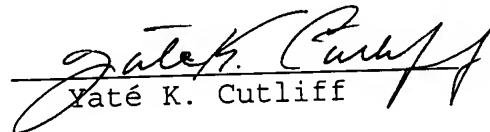
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CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE

I hereby certify that a copy of the foregoing INFORMATION DISCLOSURE STATEMENT, Form PTO-1449, and five references and attachments thereto for U.S. Application No. 10/072,084 filed February 8, 2002, were deposited in first class U.S. mail, postage prepaid, addressed: Attn: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this the 1st day of April, 2003.

The Commissioner is hereby authorized to charge any additional fees, which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.


Yaté K. Cutliff

